

KANSAS INSURANCE INSTITUTE 2011
September 19 - September 22, 2011
SOFE Examiner Registration Form

To guarantee registration, please provide all requested information.

Name: _____

Organization: _____


City: _____

State: _____ **Zip:** _____


E-Mail: _____

Phone: (_____) _____

Three Ways to Register:

 **Mail** Kansas Insurance Institute 2011
 PO Box 2455
 Topeka, KS 66601-2455

 **Web** www.ksinsurance.org/register

 **Fax** 785-296-8848

Registration Fee for SOFE Examiner CRE Program:

| Registration received by September 9, 2011 | Registration received after September 9, 2011 |
|---|--|
| \$300 (Save \$50) | \$350 |

Payment Methods:

- Check:** Check payable to **Kansas Insurance Institute** enclosed.
- Credit Card/Electronic Check:** Available through web registration only. Processing fee will apply.
- Purchase Order:** Available to government agencies only.
 PO # _____

Box Lunch Menu Selection:

Tuesday, September 20

- Turkey Sandwich
- Roast Beef Sandwich
- Ham Sandwich
- Grilled Chicken Caesar Salad
- Greek Salad with Chicken

Kansas Insurance Institute 2011

Kansas Insurance Commissioner Sandy Praeger and the Kansas Insurance Department are pleased to invite you to the Kansas Insurance Institute 2011.

Continuing Education Credits

Up to **25.5** hours of continuing regulatory education credit, approved by the Society of Financial Examiners (SOFE), is available for certified financial examiners.

Institute Location

All sessions will be held at:
 Overland Park Marriott
 10800 Metcalf Avenue
 Overland Park, Kansas 66210
 Telephone: 913-451-8000

<http://www.marriott.com/hotels/travel/mciop-overland-park-marriott/>

Hotel Accommodations

A block of rooms is being held at the Overland Park Marriott in Overland Park, Kansas. Make your reservations by calling 913-451-8000 or Toll-Free at 1-800-228-9290. A reduced room rate of \$94 per night is available, if reservations are made by **6:00 PM on September 7, 2011**.

Ask for the *Kansas Insurance Department* group rate when calling.

Reservations may also be made online at:

<http://www.marriott.com/hotels/travel/mciop-overland-park-marriott/?toDate=9/22/11&groupCode=kidkida&fromDate=9/19/11&app=resvlink>

Dress Code

The Kansas Insurance Institute dress is business casual.

Special Needs

If you have any special needs, such as wheel chair access or special dietary requirements, please contact us at 785-296-8711 no less than three (3) days before the event.



Cancellations/Substitutions

Cancellations must be in writing and received on or before **September 14, 2011**.

Cancellations made after September 14 are subject to a 50% administrative fee.

Substitutions for registered attendees may be made at any time by notifying us in writing.

For more information, please call 785-296-8711



**Continuing
 Regulatory
 Education (CRE)
 Program for
 SOFE Examiners**

Sept 19 - 22, 2011

Overland Park Marriott
 10800 Metcalf Avenue
 Overland Park, Kansas

**Up to 25.5 CRE
 Credits Available**

Kansas Insurance Department
 Sandy Praeger
Commissioner of Insurance

KANSAS INSURANCE INSTITUTE 2011

Monday, September 19, 2011

11:00-12:45 **Registration**

12:45-1:00 **Welcome**

1:00-1:50 **Introduction to COBIT**

Debbie Lew, CISA, CRISC
Senior Manager, Advisory Services
Ernst & Young

1:50-2:00 **Break**

2:00-2:50 **Introduction to COBIT
(continued)**

Debbie Lew, CISA, CRISC

2:50-3:40 **IT Application Control Testing**

Debbie Lew, CISA, CRISC
Senior Manager, Advisory Services
Ernst & Young

3:40-3:50 **Break**

3:50-4:40 **IT Application Control Testing
(continued)**

Debbie Lew, CISA, CRISC

Tuesday, September 20, 2011

8:00-9:40 **Emerging Trends in Healthcare
Fraud**

Roger Palmer, CFE, CIFI, AHFI, CFCI
Manager, Corporate Investigations
Blue Cross and Blue Shield of Kansas

9:40-9:50 **Break**

9:50-10:40 **Separate Accounts**

David Vacca, CPA
Assistant Director, Insurance Analysis & Informa-
tion Services Department
NAIC

10:40-10:50 **Break**

10:50-11:40 **Own Risk Solvency Assessment /
Federal Home Loan Bank**

David Vacca, CPA
Assistant Director, Insurance Analysis & Informa-
tion Services Department
NAIC

11:40-12:30 **Box Lunch — No Program**

12:30-2:10 **SAP Update**

Robin Marcotte, CPA, CFE, ARe, CISA
Accounting and Reporting Manager
NAIC

2:10-2:20 **Break**

2:20-3:10 **Medical Loss Ratio / Annual
Statement Exhibit**

Mike Dinius, CPA, CFE
Vice President
Noble Consulting Services, Inc.

3:10-3:20 **Break**

3:20-4:35 **Enterprise Risk Management**

Robert Panah, CPA, CISA
Vice President
Noble Consulting Services, Inc.

Wednesday, September 21, 2011

8:00-8:50 **Investments-Structured
Securities (ABS/RMBS)**

Chris Evangel
Director, Securities Valuation Office
NAIC

8:50-9:40 **Investments-Commercial Mort-
gage Backed Securities (CMBS)**

Chris Evangel

9:40-9:50 **Break**

9:50-10:40 **Investments-Municipal
Securities**

Chris Evangel

10:40-10:50 **Break**

10:50-12:05 **Investments-Assessing Signals
from Portfolio Behavior**

Chris Evangel

12:05-1:15 **Luncheon**

1:15-2:05 **Risk Assessment for
Undocumented Controls**

Annette Knief, CPA, FLMI, ARA, AIRC, CFE
Managing Director
RSM McGladrey, Inc.

2:05-2:55 **SOX / CPA Workpapers
Incorporation**

Annette Knief

2:55-3:05 **Break**

3:05-3:55 **Corporate Governance / Model
Audit Rule**

Abe Cole,
Partner
BKD, LLP

3:55-5:10 **Hedging 101 / Derivatives in
Life Company**

Annette Knief

Thursday, September 22, 2011

8:00-10:00 **Ethics**

Douglas Laird
Senior Partner
Polsinelli Shugart

10:00-10:10 **Break**

10:10-11:50 **Reinsurance Regulatory
Modernization**

Bryan Fuller, CPCU, ARe
Director
Examination Resources, LLC

11:50-12:00 **Closing Remarks**

Kenneth Abitz, CFE
Director, Financial Surveillance
Kansas Insurance Department

12:00 **Program Adjourns**