

2007

REINSURANCE INTERMEDIARY ACT
K.S.A. 40-4501 et seq.

This form must be submitted by all Kansas domestic insurers.

Reporting Insurer's Name:

Address:

City:

State:

Zip:

This Form Was Completed By:

Telephone Number:

Date Completed:

1. Did your Company utilize a Reinsurance Intermediary during 2007?

Yes No

2. **If No, it is not necessary to complete the remainder of this form.**

3. If Yes, please provide the following for each Reinsurance Intermediary utilized:

Name of Reinsurance Intermediary:

4. Was the Reinsurance Intermediary a Reinsurance Intermediary-Broker as defined in K.S.A. 40-4502(f) or a Reinsurance Intermediary-Manager as defined in K.S.A. 4502(g)?

Reinsurance Intermediary-Broker

Reinsurance Intermediary-Manager

5. Reinsurance Intermediaries should either be licensed in the State of Kansas or licensed in another state that has laws substantially similar to Kansas' in this regard.

(a) Is the Reinsurance Intermediary licensed in Kansas?

Yes No

(b) If the Reinsurance Intermediary is not licensed in the State of Kansas, please indicate the states in which they are licensed, and evidence that at least one of the other states has laws that are substantially similar to Kansas' in this regard.

6. (a) If a Reinsurance Intermediary utilized during 2007 was a Reinsurance Intermediary

Manager, the following information must be provided:

- The effective date of the contract between the reinsurer and the Reinsurance Intermediary-Manager
 - A copy of the contract
 - A copy of any correspondence from the reinsurer to the Kansas Insurance Department requesting approval of the contract and a copy of any prior correspondence from the Kansas Insurance Department to the reinsurer granting approval/disapproval of the contract.
- (b) K.S.A. 40-4507 provides that, "...At least 30 days before such reinsurer assumes or cedes business through such producer, a true copy of the approval contract shall be filed with the commissioner for approval..."

K.S.A. 40-4507 also outlines what should be included in the contract between the reinsurer and the Reinsurance Intermediary-Manager.

If a company enters into a new contract with a Reinsurance Intermediary-Manager then the company will need to provide two copies of the contract for our review. One copy should be a "clean" copy. The other copy should demonstrate that the contract is in compliance with the requirements set forth in K.S.A. 40-4507.